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## A problem on the singularities of a real algebraic vector field

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### Acknowledgement.

I would like to thank the organizers for giving me time for this problem session. I have a problem on the singularities of a real algebraic vector field. I am not at all a specialist of this field. My problem might be familiar or easy for specialists.

### 1. A vector fields.

Let  $M(n)$  be the algebra of all  $n \times n$  complex matrices,  $\chi$  a monic complex polynomial of degree  $n$ ,  $M(\chi)$  the subset of all  $X \in M(n)$  such that the characteristic polynomial of  $X$  is given by  $\chi$ .  $M(\chi)$  is a complex algebraic subvariety of  $M(n)$ . Moreover, let  $N(n)$  be the set of all  $n \times n$  normal matrices,  $N(\chi) := N(n) \cap M(\chi)$ .

Consider a real algebraic vector field  $V$  on  $M(n)$  defined by

$$V(X) := [[X^*, X], X] \quad \text{at } X \in M(n),$$

where  $X^*$  is the Hermitian adjoint of  $X$ . We provide  $M(n)$  with the Hermitian inner product and the Hermitian norm defined by

$$(X, Y) := \text{Trace}(XY^*), \quad \|X\| := \sqrt{(X, X)}.$$

The vector field  $V$  arises as the gradient flow of the functional  $\varphi : M(n) \rightarrow \mathbb{R}$  defined by

$$\varphi(X) := \frac{1}{4} \| [X^*, X] \|^2.$$

LEMMA 1.1. *The fixed point set of  $V$  is  $N(n)$ .*

The vector field  $V$  preserves each conjugacy class of  $M(n)$ , where a conjugacy class means a  $GL(n)$ -orbit of the group action

$$M(n) \times GL(n) \rightarrow M(n), \quad (X, g) \mapsto g^{-1}Xg.$$

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In particular, for any  $\chi$ ,  $V$  preserves  $M(\chi)$ , and hence one can consider the restriction  $V_\chi$  of  $V$  into  $M(\chi)$ :

$$V_\chi = V|_{M(\chi)}.$$

The vector field  $V$  arises as the gradient flow of another variational problem. To state it, let  $C$  be any conjugacy class and consider the functional  $\psi : C \rightarrow \mathbb{R}$  defined by

$$\psi(X) := \frac{1}{2} \|X\|^2.$$

$C$  is a locally closed complex submanifold of  $M(n)$  and its tangent space at  $X \in C$  is given by

$$T_X C = \text{Image of } \text{Ad}(X) : M(n) \rightarrow M(n), \quad Y \mapsto [X, Y].$$

If  $T(X)$  is the orthogonal complement of  $\text{Ker } \text{Ad}(X)$ , then we have an isomorphism  $\text{Ad}(X) : T(X) \rightarrow T_X C$ . We provide  $T_X C$  with a Hermitian inner product so as to make  $\text{Ad}(X) : T(X) \rightarrow T_X C$  an isometry. Thus we have obtained a Hermitian metric on  $C$ . The gradient flow of the functional  $\psi : C \rightarrow \mathbb{R}$  with respect to this Hermitian metric gives the vector field  $V_C := V|_C$  on  $C$ .

## 2. Stratification.

$M(\chi)$  consists of a finite number of  $GL(n)$ -orbits. Let  $\mathcal{O}(\chi)$  be the set of all orbits in  $M(\chi)$ .  $\mathcal{O}(\chi)$  gives a stratification of  $M(\chi)$  by locally closed complex submanifolds. We introduce a partial order  $<$  in  $\mathcal{O}(\chi)$ : For  $C_1, C_2 \in \mathcal{O}(\chi)$ , we put  $C_1 < C_2$  if and only if  $C_1 \subset \overline{C_2}$ . Let  $E(\chi)$  be the set of all  $e = (e_1, e_2, \dots, e_n)$  such that

- (1)  $e_i$  is a monic polynomial, ( $i = 1, 2, \dots, n$ ),
- (2)  $e_i$  divides  $e_{i+1}$ , ( $i = 1, 2, \dots, n-1$ ), and
- (3)  $e_1 e_2 \cdots e_n = \chi$ .

For any  $C \in \mathcal{O}(\chi)$ , we denote by  $e_i(C)$  be the  $i$ -th elementary divisor of  $C$  and put  $e(C) := (e_1(C), e_2(C), \dots, e_n(C))$ .

**LEMMA 2.1.** *There is a one-to-one correspondence:*

$$\mathcal{O}(\chi) \rightarrow E(\chi), \quad C \mapsto e(C).$$

For any  $C_1, C_2 \in \mathcal{O}$ , we have  $C_1 < C_2$  if and only if

$$\prod_{j=1}^i e_j(C_2) \text{ divides } \prod_{j=1}^i e_j(C_1), \quad (i = 1, 2, \dots, n).$$

**REMARK 2.2:** There are a unique maximal orbit  $C_{\max}(\chi)$  and a unique minimal orbit  $C_{\min}(\chi)$  in  $\mathcal{O}(\chi)$  with respect to the partial order  $<$ .

LEMMA 2.3. Let  $C \in \mathcal{O}(\chi)$ .

(i) The following three assertions are equivalent:

- (1)  $C = C_{\min}(\chi)$ .
- (2)  $C$  is closed in  $M(\chi)$ .
- (3)  $C$  is semisimple.

(ii)  $C = C_{\max}(\chi)$  if and only if  $C$  is open in  $M(\chi)$ .

(iii)  $C_{\min}(\chi) = C_{\max}(\chi)$  if and only if  $\chi$  has distinct  $n$  roots,

(iv)  $X \in M(n)$  is smooth in  $M(n)$  if and only if  $X \in C_{\max}(\chi)$ , and

(v)  $N(\chi) = N(n) \cap C_{\min}(\chi)$ .

Lemma 2.3 implies that, if  $\chi$  has a multiple root, then  $N(\chi)$  lies in the singularities of  $M(\chi)$ . If  $\chi$  has distinct  $n$  roots, then  $M(\chi)$  is smooth everywhere.

Consider the vector field  $V_\chi$  on  $M(\chi)$ . This is a real algebraic *stratified vector field* on  $M(n)$ . In this symposium, Prof. Brasselet talked about complex analytic stratified vector fields.

LEMMA 2.4. The fixed point set of  $V_\chi$  is  $N(\chi)$ . Moreover, the  $\omega$ -limit set of  $V_\chi$  is  $N(\chi)$ .

### 3. Semisimple trajectories.

Consider the trajectory  $\{X(t)\}_{t \geq 0}$  of  $V_\chi$  starting from  $X_0 \in M(\chi)$ .  $X(t)$  exists for all  $t \geq 0$ . If  $X_0 \in C_{\min}(\chi)$ , then  $X(t)$  is called a *semisimple trajectory* and, if  $X_0 \notin C_{\min}(\chi)$ , then  $X(t)$  is called a *non-semisimple trajectory*, respectively.

NOTATION 3.1: Let  $\{z_1, z_2, \dots, z_k\}$  be the set of mutually distinct roots of  $\chi$ . We put

$$a(\chi) := \begin{cases} 0 & (k = 1), \\ \min_{i \neq j} |z_i - z_j|^2, & (k > 1). \end{cases}$$

REMARK 3.2: (i) If  $a(\chi) = 0$ , then  $C_{\min}(\chi)$  consists of a single point which is a scalar matrix. So the trajectory  $X(t)$  is a single point. Everything is trivial in this case.

(ii) If  $a(\chi) > 0$ , then  $N(\chi)$  is a compact real analytic manifold of positive dimension.  $N(\chi)$  is a  $U(n)$ -orbit.

THEOREM 3.3. There exists a continuous function  $K : C_{\min}(\chi) \rightarrow \mathbb{R}$  such that the following condition holds: For any  $X_0 \in C_{\min}(\chi)$  there exists a normal matrix  $X_\infty \in N(\chi)$  such that the trajectory  $X(t)$  starting from  $X_0$  satisfies

$$\|X(t) - X_\infty\| \leq K(X_0) \| [X_0^*, X_0] \| e^{-2a(\chi)t} \quad (t \geq 0).$$

REMARK 3.4: (i) The function  $K$  can be given more explicitly (see [Iw]).  
(ii) Theorem 3.3 implies that each semisimple trajectory in  $M(\chi)$  converges exponentially to a normal matrix in  $N(\chi)$  as  $t \rightarrow \infty$ .

#### 4. Non-semisimple trajectories.

What can we say about the non-semisimple trajectories ? We have at least the following:

THEOREM 4.1. *For any non-semisimple trajectory  $X(t)$ ,*

$$t\| [X^*(t), X(t)] \|^2 \rightarrow 0 \quad \text{as } t \rightarrow \infty,$$

but

$$\int_0^\infty t\| [X^*(t), X(t)] \|^2 dt = \infty.$$

Now we propose the following:

PROBLEM 4.2. *Does any non-semisimple trajectory converge as  $t \rightarrow \infty$ ?  
If a non-semisimple trajectory does not converge, how does it behave?*

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